



NORTHAMPTON
BOROUGH COUNCIL

CABINET AGENDA

Wednesday, 13 July 2016

The Jeffrey Room, St. Giles Square, Northampton,
NN1 1DE.

6:00 pm

Members of the Cabinet:

Councillor: Mary Markham (Leader of the Council)

Councillor: Jonathan Nunn (Deputy Leader)

Councillors: Alan Bottwood, Mike Hallam, Tim Hadland, Stephen Hibbert, Brandon Eldred and Anna King.

Chief Executive David Kennedy

If you have any enquiries about this agenda please contact
democraticservices@northampton.gov.uk or 01604 837722

PORTFOLIOS OF CABINET MEMBERS

CABINET MEMBER	TITLE
Councillor M Markham	Leader
Councillor J Nunn	Deputy Leader
Councillor A Bottwood	Environment
Councillor B Eldred	Finance
Councillor T Hadland	Regeneration, Enterprise and Planning
Councillor M Hallam	Community Safety
Councillor S Hibbert	Housing
Councillor A King	Community Engagement

SPEAKING AT CABINET MEETINGS

Persons (other than Members) wishing to address Cabinet must register their intention to do so by 12 noon on the day of the meeting and may speak on any item on that meeting's agenda.

Registration can be by:

Telephone: (01604) 837722
(Fax 01604 838729)

In writing: Democratic Services Manager
The Guildhall, St Giles Square, Northampton NN1 1DE
For the attention of the Democratic Services Officer

By e-mail to democraticservices@northampton.gov.uk

Only thirty minutes in total will be allowed for addresses, so that if speakers each take three minutes no more than ten speakers will be heard. Each speaker will be allowed to speak for a maximum of three minutes at each meeting. Speakers will normally be heard in the order in which they registered to speak. However, the Chair of Cabinet may decide to depart from that order in the interest of hearing a greater diversity of views on an item, or hearing views on a greater number of items. The Chair of Cabinet may also decide to allow a greater number of addresses and a greater time slot subject still to the maximum three minutes per address for such addresses for items of special public interest.

Members who wish to address Cabinet shall notify the Chair prior to the commencement of the meeting and may speak on any item on that meeting's agenda. A maximum of thirty minutes in total will be allowed for addresses by Members unless the Chair exercises discretion to allow longer. The time these addresses take will not count towards the thirty minute period referred to above so as to prejudice any other persons who have registered their wish to speak.

KEY DECISIONS

 denotes the issue is a 'Key' decision:

- Any decision in relation to the Executive function* which results in the Council incurring expenditure which is, or the making of saving which are significant having regard to the Council's budget for the service or function to which the decision relates. For these purpose the minimum financial threshold will be £250,000;
- Where decisions are not likely to involve significant expenditure or savings but nevertheless are likely to be significant in terms of their effects on communities in two or more wards or electoral divisions; and
- For the purpose of interpretation a decision, which is ancillary or incidental to a Key decision, which had been previously taken by or on behalf of the Council shall not of itself be further deemed to be significant for the purpose of the definition.

NORTHAMPTON BOROUGH COUNCIL

CABINET

Your attendance is requested at a meeting to be held:
in The Jeffrey Room, St. Giles Square, Northampton, NN1 1DE.
on Wednesday, 13 July 2016
at 6:00 pm.

D Kennedy
Chief Executive

AGENDA

1. APOLOGIES

2. MINUTES

(Copy herewith)

3. INTENTION TO HOLD PART OF THE MEETING IN PRIVATE

4. DEPUTATIONS/PUBLIC ADDRESSES

5. DECLARATIONS OF INTEREST

6. ISSUES ARISING FROM OVERVIEW AND SCRUTINY COMMITTEES

7. UPDATE ON RECOVERY OF SIXFIELDS LOAN MONEY

 Report of Chief Finance Officer and Borough Secretary (Copy herewith)

8. FINANCE AND MONITORING OUTTURN 2015-16

 Report of Chief Finance Officer (Copy herewith)

9. EXCLUSION OF PUBLIC AND PRESS

THE CHAIR TO MOVE:

“THAT THE PUBLIC AND PRESS BE EXCLUDED FROM THE REMAINDER OF THE MEETING ON THE GROUNDS THAT THERE IS LIKELY TO BE DISCLOSURE TO THEM OF SUCH CATEGORIES OF EXEMPT INFORMATION AS DEFINED BY SECTION 100(1) OF THE LOCAL GOVERNMENT ACT 1972 AS LISTED AGAINST SUCH ITEMS OF BUSINESS BY REFERENCE TO THE APPROPRIATE PARAGRAPH OF SCHEDULE 12A TO SUCH ACT.”

SUPPLEMENTARY AGENDA

**Exempted Under Schedule
12A of L.Govt Act 1972
Para No:-**

NORTHAMPTON BOROUGH COUNCIL

CABINET

Wednesday, 8 June 2016

PRESENT: Councillor Markham (Chair); Councillor Nunn (Deputy Chair); Councillors Bottwood, Eldred, Hadland, Hallam, Hibbert and King

APOLOGIES:

1. APOLOGIES

There were none.

2. MINUTES

The minute of the meeting held on the 11th May 2016 were agreed and signed by the Leader.

3. INTENTION TO HOLD PART OF THE MEETING IN PRIVATE

There were no items to be heard in private.

4. DEPUTATIONS/PUBLIC ADDRESSES

Mr Calder addressed Cabinet in respect of Item 11 – Northampton Low Emission Strategy (NLES). He commented that the number of urban areas identified as having elevated levels of air pollution was concerning, specifically the Nitrogen Dioxide levels and asked for extensive consultation of the matter.

Mr Clarke addressed Cabinet in respect of Item 11 and stated that he considered there to be a disconnect between the Low Emission Strategy and the actions of the administration having opened up Abington Street to traffic and thus potentially increasing pollution within the Town Centre. He commented that the mortality rates in Northampton were some of the highest rates in the country. He asked that the consultation be extensive and asked that residents associations and forums be held in order to encourage participation in the consultation.

Mr Martin also spoke in respect of Item 11 and stated that any strategy to reduce emissions was positive and asked that any future developments that increased traffic and pollution be given very careful consideration.

Ms Timson addressed Cabinet in respect of Item 7 - Multi Agency Strategy for Tackling, Preventing and Reducing Rough Sleeping in Northampton and stated that she welcomed the strategy but had a number of concerns with regards to the social problems of drugs and alcohol and questioned what facilities and amenities would be provided at the temporary night shelter for homeless people and asked whether it would be kept under review.

5. DECLARATIONS OF INTEREST

There were none.

6. ISSUES ARISING FROM OVERVIEW AND SCRUTINY COMMITTEES

There were none.

7. MULTI AGENCY STRATEGY FOR TACKLING, PREVENTING AND REDUCING ROUGH SLEEPING IN NORTHAMPTON

Councillor Ashraf addressed Cabinet and thanked the officers for their work and welcomed the recommendations and urged the Council to look assisting families and tenants before residents were homeless as prevention was the key to dealing with the issue. She also asked that there be multi-agency working with faith groups including mosques, to enable people to access to services through various means.

The Leader confirmed that during the drafting of the strategy, faith groups had been included in discussions as well as many other agencies.

Councillor Stone commented that she welcomed the strategy but that more consultation would have been preferable. She also expressed concern that some rough sleepers were non-English speaking and that this concerned her as they were not always able to understand how to access the services the Council were offering and that the administration needed to work with support agencies.

The Leader reiterated that there had been consultation with various groups and an extensive consultation had been undertaken.

Councillor Smith commented that she too welcomed that strategy. She stated that a long term action plan was needed to prevent rough sleeping not just in the near future but life-long.

Councillor Hibbert as the relevant Cabinet Member submitted a report and elaborated thereon. He thanked the Labour Group for their support and noted that the strategy had been developed out of workshops, groups and discussions with agencies to ensure that work to tackle homelessness was being carried out consistently. He recognised the detrimental physical and mental affect that homelessness and rough sleeping had on people and noted that there were some homeless people who were reluctant to engage with officers and a more assertive approach would be a possible solution but commented that some rough sleepers had refused help and therefore choosing to continue in the lifestyle. In response to questions asked, Councillor Hibbert stated that there had been over 30 groups involved in the consultation and noted that the facilities would mainly be for male rough sleepers as they made up the majority of those identified as homeless but confirmed that provisions at the facility could be provided for females and that the situation would be monitored and kept under review.

RESOLVED:

1. That 'Together we change lives' (which was attached to the report as Appendix A) as Northampton's 3 year Multi Agency Strategy for ending the need for people to sleep rough in the borough be approved.
2. That authority be delegated to the Cabinet Member for Housing & Wellbeing in consultation with the Chief Executive, to make minor changes to the Strategy.
3. That authority be delegated to the Chief Executive in consultation with the Cabinet Member for Housing & Wellbeing, to establish a temporary night shelter, subject to due diligence and the production of a detailed business case that has been signed-off by the Section 151 Officer.

8. REGULATION OF INVESTIGATORY POWERS ACT 2000 - POLICY UPDATE

Councillor Stone addressed Cabinet and commented that the revised RIPA policy could potentially be used to tackle people trafficking, child exploitation and modern slavery and welcomed the report.

The Leader submitted a report and noted that some of the recommendations contained within the report had come about as a result of an inspection carried out by the Office of Surveillance Commissioners at the latter part of 2015.

RESOLVED:

1. That the update on the outcome of the Inspection carried out by the Office of Surveillance Commissioners on 1st December 2015 be noted.
2. That the updated RIPA Policy attached at Appendix 1 of the report be approved.
3. That authority be delegated to the Borough Secretary and Monitoring Officer to:
 - a) update the RIPA Policy to include the names and contact details of new Authorising Officers after they have been appointed; and
 - b) make such future amendments to the Policy which are in the opinion of the Borough Secretary and Monitoring Officer minor and are necessary to reflect either legislative requirements, relevant guidance or current good practice.

9. DISPOSAL OF LAND AT WESTBRIDGE DEPOT - WASTE TO ENERGY PLANT

This item was withdrawn.

10. FLY TIPPING UPDATE AND FIXED PENALTY NOTICES

Councillor Davenport addressed Cabinet and questioned the number of enforcement officers and also asked the administration to consider the needs of vulnerable people who had been issued penalties for dropping litter.

Councillor Meredith commented that that Council had done an excellent job of removing fly-tipping and maintaining a good clean standard but suggested that they had now become a victim of their own success as people who were fly-tipping were not being punished but their waste was being removed by the Council and suggested that the report did not go far enough in tackling fly-tipping urged the Council to catch the offenders.

Councillor Bottwood, as the relevant Cabinet Member, submitted a report and commented that the purpose of the report was to seek Cabinet's approval to serve Fixed Penalty Notices to a maximum of £400. He stated that the Council were looking at educational avenues to make people aware of the impact and damage of fly-tipping.

The Deputy Leader stated that it was sensible for the collection of fly-tipping to be covered by the cost of the fine.

RESOLVED:

1. That the actions outlined in 3.1.1 – 3.1.5 of the report being taken to deal with fly-tipping in Northampton be noted.

2. That the level of Fixed Penalty Notice for fly tipping be set to the legally allowed maximum of £400 with a reduction to £250 if the penalty was paid before the period of 10 days following the date of the Notice.

11. NORTHAMPTON LOW EMISSION STRATEGY (NLES)

Councillor Stone commented that Air Quality (AQ) was extremely important and the figures contained within the report raised concerns. She noted that the testing of AQ was not being carried out in the right places and that that results of the test should be made available to members of the public and the Council on the authority's website.

Councillor Davenport reported that the emissions on the 'Kingsthorpe corridor' needed addressing and stated that an increase in building developments would result in a further escalation of emissions.

Councillor McCutcheon commented that the number of Sustainable Urban Extensions granted through the planning process needed to demonstrate consideration of alternative transport links, such as a bus service, to reduce reliance on cars and consequentially lowering emissions.

Councillor Bottwood, as the relevant Cabinet Member submitted a report and elaborated thereon.

RESOLVED:

That the draft NLES attached to the report, subject to the outcome of an 8 – 12 week period of public consultation be approved. The results of the consultation would be reported back to Cabinet in the Autumn.

12. ACQUISITION OF THE FREEHOLD OF NO. 9 GUILDHALL ROAD

Councillor Birch addressed Cabinet and noted that she welcomed the principle of the acquisition of the property and further developments to enhance and develop the cultural quarter.

Councillor Hadland, as the relevant Cabinet Member, submitted a report and commented that it was a very important part of the Cultural Quarter and would play an important role in the future.

RESOLVED:

1. That the principle of the acquisition by this Council of the freehold interest in the property, known as 9 Guildhall Road shown edged red upon the plan at Appendix 1 of the report be approved.
2. That the Director of Regeneration Enterprise and Planning be authorised to approve the terms of the acquisition in consultation with the Cabinet Member for Regeneration, Enterprise and Planning.
3. That inclusion in the Council's Capital Programme for 2016/2017 of up to £462,000 (inclusive of statutory acquisition costs) to support this purchase, subject to prior approval by the Director of Regeneration, Enterprise and Planning and the Chief Finance Officer following due diligence and a sufficient business case to support this be approved
4. That the drawdown of up to £462,000 from the Council's Strategic Investment

Reserve as the funding source for the acquisition of 9 Guildhall Road be approved..

The meeting concluded at 6.46pm

Appendices



NORTHAMPTON
BOROUGH COUNCIL

CABINET REPORT

Report Title	UPDATE ON RECOVERY OF SIXFIELDS LOAN MONEY
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AGENDA STATUS: PUBLIC

Cabinet Meeting Date:	13 th July 2016
Key Decision:	YES
Within Policy:	YES
Policy Document:	NO
Directorate:	Borough Secretary and Finance
Accountable Cabinet Member:	Councillor Markham
Ward(s)	St James

1. Purpose

- 1.1 The purpose of this report is to provide an update on steps that have been taken to recover the Sixfields loan money, and to request that further reserves be made available to continue the recovery of these monies.

2. Recommendations

2.1 That approval is given to:

1. Transfer upto £500,000 from the Future Pressures Earmarked Reserve for professional fees to continue the recovery of monies lent to Northampton Town Football Club (NTFC).

3. Issues and Choices

3.1 Report Background

- 3.1.1 At its meeting on 24th November 2015, Cabinet was updated on progress towards resolving the position with regards to loans outstanding to NTFC. At this meeting Cabinet agreed to use existing budgets and reserves (if required) to finance the additional costs of resolving the position and attempting to recover the loan monies.
- 3.1.2 The Cabinet Report estimated that the cost of the initial professional fees from legal and financial experts would be £200k, and that the costs associated with the recovery of monies would be £250k. This amount of £450k has now been spent or committed on the activity outlined below.
- 3.1.3 On-going work has included:
- The cancelling of the loans and the land agreement following non-payment of monies due under the loan facility;
 - The use of specialist administration and insolvency advice, prior to the sale of NTFC;
 - The recovery phase, including forensic accounting on the NTFC records, the issuing of injunctions and commencement of proceedings against the individuals and companies concerned;
 - Liquidation of 1st Land Ltd and related parties.

3.2 Issues

- 3.2.1 The work to date has been successful in establishing a firm position from which the Council can continue its work to recover monies.
- 3.2.2 However, further monies will be required to continue with this recovery. It is estimated that an additional amount of up to £500k will be required for this. It is requested that this additional money be made available from existing reserves, specifically the Future Pressures Earmarked Reserve.
- 3.2.3 To ensure the costs of the recovery of the loan monies and liquidation of 1st Land Limited are minimised the Council will continue to utilise a mix of external and internal professional advice. The Council is also using Conditional Fee Arrangements, colloquially known as “no win no fee arrangements” to risk share on certain recovery streams.

3.3 Choices (Options)

- 3.3.1 Not commit any further resources to the attempted recovery of the loan monies.
- 3.3.2 Set aside a further amount from reserves to allow the attempted recovery of the loan money to continue.

4. Implications (including financial implications)

4.1 Policy

4.1.1 No policy implications

4.2 Resources and Risk

Financial Implications.

4.2.1 By continuing to fund the attempted recovery of monies, the Council will retain the potential to recover, in total or in part, the monies that were lent to NTFC and passed on to third parties.

4.2.2 These costs are anticipated to be funded through reserves on a temporary basis. It is intended, as mentioned in the Cabinet report on 24th November 2015 that these costs will ultimately be recovered through either the recovery of monies from the individuals and companies concerned, and/or the sale of the development land at Sixfields.

4.2.3 Throughout this process it is important that the Council ensures that the money being spent on the attempted recovery is obtaining value for money. It is therefore important to balance the potential recovery of the monies for the taxpayer with the amount being spent on that recovery. This value for money position is being regularly monitored.

Risks

4.2.4 There is a litigation risk – any actions taken are subject to legal process, and there can be no guarantee of the successful recovery of the monies. There is therefore a risk that the Council will be unable to trace or recover, in total or in part, monies that were lent to NTFC and passed on to third parties. However, litigation risks will be minimised so far as possible and will be considered continuously through the recovery process.

4.3 Legal

4.3.1 All legal issues are covered in the body of this report.

4.4 Equality and Health

4.4.1 No particular implications have been identified.

4.5 Consultees (Internal and External)

4.5.1 The Leader of the Council, Executive Members and Officers are being consulted at all stages of this work.

4.6 How the Proposals deliver Priority Outcomes

4.6.1 The intention of this work is to protect the public purse.

4.7 Other Implications

4.7.1 None

5. Background Papers

5.1 Report to Cabinet meeting of 24th November 2015

**Glenn Hammons
Chief Finance Officer**

**Francis Fernandes
Borough Secretary**

Appendices
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NORTHAMPTON
BOROUGH COUNCIL

CABINET REPORT

Report Title	FINANCE AND MONITORING OUTTURN REPORT 2015/16
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AGENDA STATUS: PUBLIC

Cabinet Meeting Date:	13 July 2016
Key Decision:	Yes
Within Policy:	Yes
Policy Document:	No
Directorate:	Management Board
Accountable Cabinet Member:	Cllr B Eldred
Ward(s)	N/A

1. Purpose

- 1.1 This report sets out the financial outturn position for the Council's General Fund, Housing Revenue Account (HRA), Capital Programme and Northamptonshire Partnership Homes (NPH) for the financial year 2015/16.

2. Recommendations

- 2.1. That Cabinet note the outturn for the General Fund and Housing Revenue Account for the financial year 2015/16 as set out at **Appendix 1** and **Appendix 5**.
- 2.2 That Cabinet approve the contributions to General Fund Revenue Earmarked Reserves as shown in **Appendix 3**.
- 2.3 That Cabinet approve the net movement in Housing Revenue Account Reserves and working balances as set out at **Appendix 6**.
- 2.4 That Cabinet note the outturn for the Council's General Fund and Housing Revenue Account Capital Programmes for 2015/16 and how the expenditure was financed as set out at **Appendix 4** and **Appendix 7**.
- 2.5 That Cabinet approve the carry forward for revenue and capital schemes from 2015/16 into 2016/17.

2.6 That Cabinet note the outturn for Northampton Partnership Homes for 2015/16 as set out at **Appendix 8**.

3. Issues and Choices

3.1 The Council's budget is divided across two accounts, the General Fund and the Housing Revenue Account (HRA). These two accounts, together with their respective sources of funding, are kept entirely separate from each other as required by statute. HRA expenditure and income relate solely to the Council's role as a housing landlord, whilst the General Fund encompasses all other services. Please note that the accounts for the year will include a number of technical accounting adjustments that will be taken in the draft Statement of Accounts to Audit Committee on the 25th July.

3.2 General Fund

3.2.1 The General Fund outturn for controllable service budgets shows an underspend of £1,221k. This is listed in **Table 1** below and detailed in **Appendix 1**.

Table 1 - Controllable Budget Outturn	Revised Budget	Outturn	Variance
	£000	£000	£000
Director of Regeneration, Enterprise and Planning	1,006	264	(742)
Housing and Wellbeing	1,117	1,394	257
Borough Secretary	2,442	2,364	(78)
Director of Customers and Communities	11,129	10,736	(393)
Corporate and LGSS	10,520	10,880	360
Total Service Controllable Spending	26,234	25,638	(596)
Debt Financing	3,011	2,387	(625)
Total Controllable Spending	29,245	28,024	(1,221)

3.2.2 Major variations between the revised budget and outturn are set out in more detail in **Appendix 2**. The main variations are:

3.2.2.1 Regeneration, Enterprise and Planning

- Overachievement of rental and development control income.
- Staff vacancy savings.

3.2.2.2 Housing and Wellbeing

- Additional staff costs covering vacant posts and posts that were being temporarily filled awaiting the implementation of a restructure and reduced fee income during the year.

3.2.2.3 Borough Secretary

- Reduction in staffing costs due to a restructure.
- Additional election and electoral registration costs.

3.2.2.4 Customers and Communities

- Overachievement of car parking income.
- Savings on utility and NNDR costs.
- Deductions made to the monthly core contract payment offset by pension charges and bond payments for 2014-16.

3.2.2.5 Corporate and LGSS

- Increase in provision for bad debts relating to Housing Benefits.
- Underachievement of budgeted savings for Revenues and Benefits
- Savings due to pension auto enrolment not starting in 2015/16.

3.2.3 All outturn variations will be reviewed as part of a robust review of the current 2016/17 budget and Medium Term Financial Plan going forward.

3.2.4 **Table 2** below sets out the proposed use of the 2015/16 underspend. The underspend is being used to mitigate risk and ensure that the Council can continue to invest in future service improvements and economic initiatives across the Borough.

Table 2 – Use of 2015/16 Underspend	Para. Ref.	£000
Underspend against Controllable Budgets		(1,221)
Other Technical Variances		31
Funding towards Planning Appeals	3.2.6	331
Funding towards Delapre Abbey Costs	3.2.7	90
Project Budget Carry Forwards	3.2.8	157
Contribution to Future Pressures Reserve	3.2.9	612
Contribution to General Fund Balance		0

3.2.5 It is important that the Council is able to manage the risks it faces. The Council sets aside monies for the purpose of managing some of the risks it is exposed to. The table above sets out the use of the underspend for 2015/16 with more details in the paragraphs below.

3.2.6 Planning Appeals at Collingtree and Hardingstone resulted in costs to the Council in 2015/16 of £545k, partly funded by an earmarked reserve with the remainder funded from service underspends.

3.2.7 Revenue costs in relation to the restoration of Delapre Abbey of £90,000 are a cost against service underspends.

3.2.8 Specific carry forwards have been requested for use in 2016/17. These include the carry forward of underspends in 2015-16 for Councillor enabling funds and the museum project.

3.2.9 The Council has identified a number of risks, including reducing government funding, increasing service costs and contract management costs. Monies are set aside in the Future Pressures Reserve to assist with the management of these risks.

General Fund Balances

- 3.2.10 The Chief Finance Officer has undertaken a risk-based assessment of reserve balances. This assessment suggests that, taking all known risks into account along with the Council's gross expenditure requirement, the minimum level of balances should be in the order of £5.5m. The unaudited outturn shows that this can be achieved as at 31 March 2016.
- 3.2.11 The Council also holds General Fund earmarked reserves of £22.7m to mitigate against specific risks to which the Council may be exposed and investing in service improvement. These are detailed in **Appendix 3**.

General Fund Capital

- 3.2.12 The Council's final approved budget for General Fund capital programme expenditure in 2015/16 was £66.5m, a net increase of £7.8m from the original budget of £58.7m. The increases were due to carry-forwards from 2014/15 and other changes largely relating to increases in external financing from grants and contributions and self-funded schemes.
- 3.2.13 The overall capital programme includes revenue expenditure funded from capital under statute (REFCUS). This is expenditure, such as grants to homeowners for disabled facilities, which can be funded from capital resources under statute and regulations.
- 3.2.14 Capital expenditure for 2015/16 totalled £59m against the final approved budget of £66.5m, a net underspend of £7.5m (11%). A large proportion (£7.3m) relates to schemes that are currently underway or still planned to take place and these budgets will be carried forward into the next financial year (2016/17). The majority of this carry forward is due to the timing of approvals and the timescales for letting contracts and funding agreements. This includes £4.8m in relation to schemes with specific earmarked funding, e.g. specific government grants, section 106 contributions, earmarked reserves or self-funded borrowing, meaning that any underspend does not create a saving to Northampton Borough Council.
- 3.2.15 Total General Fund capital expenditure included a £46m loan to the University of Northampton to part fund the construction of its new campus. This loan was funded through PWLB borrowing at a preferential rate, and underwritten by HM Treasury.

3.2.16 The capital expenditure position by Directorate is summarised in **Table 3** below, with further details set out in **Appendix 4**, along with explanations of the reasons for any significant variances.

Table 3 Capital Expenditure 2015/16	Final Approved Budget	Outturn	Variance
General Fund	£000	£000	£000
Customers & Communities	1,109	716	(393)
Regeneration, Enterprise & Planning	17,324	10,328	(6,996)
Housing General Fund	1,500	1,557	57
LGSS Managed Budgets	301	54	(247)
Loans to Third parties	46,300	46,300	0
Total	66,534	58,955	(7,579)

3.2.17 **Table 4** below shows how the capital programme for 2015/16 has been funded. In line with the approved Capital Strategy and the Treasury Management Strategy, capital receipts have been utilised to fund expenditure on short-life assets whilst prudential borrowing has been used where assets have a longer life.

Table 4 Financing of Capital Programme 2015/16	General Fund
	£000
Borrowing	51,148
Capital Receipts	3,097
Grants	2,371
Third Party Contributions	508
Revenue Contributions	1,830
Total	58,954

3.2.18 The proposed carry forwards on General Fund Capital Schemes are required to complete schemes and realise the outcomes set out in the original appraisals. Justifications are given against each scheme in **Appendix 4**. After taking account of these carry-forwards, the net overspend in funding by corporate borrowing and capital receipts is £115k.

3.2.19 **Table 5** below shows the amount of carry forward from 2015/16 into the 2016/17 capital programme. Details are shown on a scheme by scheme basis at **Appendix 4**.

Table 5 General Fund Capital Carry forward 2015/16	£000
Customers & Communities	385
Regeneration, Enterprise & Planning	6,737
Housing General Fund	(57)
LGSS Managed Budgets	247
Total	7,313

3.2.20 The carry forward schemes will be incorporated into the 2016/17 agreed capital programme and monthly monitoring processes.

3.3 Housing Revenue Account

3.3.1 The HRA outturn position shows an underspend on controllable spending of £4.644m. After technical accounting adjustments this position moves to an underspend of £8.479m. This has reduced the required net contribution from reserves from the budgeted amount of £10.1m to £1.622m, while the HRA working balance remains unchanged at £5m.

3.3.2 It should be noted that Northampton Partnership Homes (NPH) have managed most of the HRA expenditure budgets in 2015/16 and the actual expenditure incurred is therefore reflected in the summary HRA accounts as management fee payments to NPH. The NPH outturn figures are shown separately (see 3.4 below).

3.3.3 The summary HRA outturn is attached at **Appendix 5**.

Main Variances

3.3.4 **Table 6** below summarises the main variances detailed in Appendix 5. All outturn variations are already being reviewed to identify ongoing issues which need to be reflected within the current forecast and future year budgets.

Table 6 – HRA Service Budget Outturn	Revised Budget	Outturn	Variance
	£000	£000	£000
Repairs and Maintenance	15,405	13,033	(2,372)
General Management	9,744	9,312	(432)
Special Services	4,331	3,170	(1,161)
Increase in Bad Debt Provision	750	201	(549)
Capital Charges	12,610	12,794	184
Interest and Financing	6,250	6,029	(221)
Revenue Contributions to Capital	12,540	9,424	(3,116)
Net Support Service Recharges	2,811	2,129	(682)
Rent and Other Income	(54,620)	(54,729)	(109)
Other Minor Variations	279	259	(20)
HRA Net Expenditure 2015/2016	10,100	1,622	(8,479)
Net Contribution to/(from) Reserves	(10,100)	(1,622)	8,479
Housing Revenue Account Deficit/(Surplus)	0	0	0

The major variations between the revised budget and outturn are as follows:

- 3.3.5 Repairs and Maintenance: - Mainly due to capitalisation of eligible void works identified.
- 3.3.6 General Management and Special Services – savings largely reflect lower employee related expenditure as a result of vacant posts held within Northampton Partnership Homes.
- 3.3.7 Other Variances:-
- Lower contribution to the Bad Debt Provision than budgeted reflecting on the good performance of managing arrears and also the further delay on implementation of the Welfare reforms and Universal Credit.
 - Lower interest and financing costs reflecting the higher level of balances held on the HRA for the year.
 - Revenue Contributions to Capital – unused Major Repairs Allowance brought forward from 2014/15 was available to fund the Capital Programme.
 - Lower Support Service Recharges reflect savings within General Fund services.
- 3.3.8 Further details regarding recommended budget revisions and management actions required to ensure that the budget remains in balance will be included in the next budget monitoring report to Cabinet.

Contribution to HRA Working Balances and Reserves.

- 3.3.9 The total balance on all HRA reserves and balances at 31 March 2016 is £23.2m. **Appendix 6** details the movement to and from HRA reserves, excluding working balances. Contributions to and from working balances and earmarked reserves are summarised in **Table 7** below.

Cabinet are asked to approve the contributions from reserves.

Table 7 – HRA Working Balances and Reserves	Balance 1 April 2015 £000	Movement in Year £000	Balance 31 March 2016 £000
Working Balance	5,000		5,000
Capital Programme Reserve	17,067	(1,622)	15,446
Leaseholders Reserve	500		500
Service Improvement and Project Reserve	1,395		1,395
Stock Options Appraisal	8		8
Supporting People Reserve	558		558
Insurance reserve	300		300
Total HRA Balances	24,829	(1,622)	23,207

HRA Capital

- 3.3.10 The Council's final approved budget for HRA capital programme expenditure in 2015/16 was £36.148m, a net decrease of £165k from the original budget of £36.313m. The budget initially increased due to carry-forwards from 2014/15, but was subsequently decreased to reflect the re-phasing of the Dallington New Build scheme and other schemes.
- 3.3.11 HRA Capital expenditure for 2015/16 totalled £33.69m against the final approved budget of £36.15m, a net underspend of £2.46m (7%). This underspend relates to various schemes including those managed by NPH (£1.2m), the Repurchase of Former Council Houses (£590k) and the Dallington New Build Scheme (£600k). A carry forward of these balances is required to accommodate the re-phasing of the schemes in 2016/17. Further details are provided in Appendix 7.

3.3.12 **Table 8** below shows how the HRA capital programme for 2015/16 has been funded.

Table 8 Financing of HRA Capital Programme 2015/16	HRA £000
Capital Receipts	2,370
Major Repairs Allowance	21,898
Revenue Financing – In Year	9,424
Total	33,693

3.3.13 The proposed HRA carry forwards of £2.4m, as detailed in **Appendix 7** include £1.19m for NBC retained schemes and £1.2m for NPH managed schemes. The programme for 2016/17 onwards has been reconfigured in line with the latest stock condition survey. Any HRA capital resources becoming available as a result of the underspend and the next revision of the 30-year Business Plan will be allocated to priority improvements and/or new provision.

3.3.14 The carry forward schemes will be incorporated into the 2016/17 agreed capital programme and monthly monitoring processes.

3.4 Northampton Partnership Homes (NPH) Outturn

3.4.1 The NPH accounting loss for the year 2015/16 was £7k. In accordance with the Management Agreement, this will be adjusted back into the retained HRA in 2016/17 and offset against the £27k surplus from 2014/15. The balance of £20k will be held in a reserve ring-fenced to NPH service improvements to be drawn down when a specific use is identified.

3.4.2 **Table 9** below summarises the overall NPH outturn position against the revised budget. The main variances against the elements of the Total Fee are shown in **Appendix 8**.

Table 9– NBC / NPH Extract from Pre-Audited Accounts	Original Budget £000	Actual £000	Variance £000
Management Fee	62,358	57,131	(5,227)
Expenditure	62,358	56,648	(5,710)
Operating Surplus Before Financing and Tax)	0	483	483
Financing Costs	0	489	489
Operating Surplus	0	(7)	7

3.4.3 The original management fee budget of £55.3m was increased to £62.3m as a result of the approved carry forward of capital budgets totalling £7m from 2014/15.

3.4.4 The actual variance of (£5.7m) shown above reflects the re-phasing of the HRA Capital programme (£1.2m) into 2016/17, HRA Revenue savings (£4.5m) largely as a result of the capitalisation of void works and minor General Fund savings (£12k).

3.5 Choices (Options)

3.5.1 Cabinet is invited to note the report and the explanations of the actual outturn on controllable income and expenditure for the General Fund, Housing Revenue Account, Capital Programme and Northamptonshire Partnership Homes.

3.5.2 Cabinet is asked to note the movements in the General Fund and HRA reserves.

3.5.3 Cabinet is asked to agree the capital and revenue budgets to be carried forward to 2016/17.

3.5.4 In determining the recommendations set out in the report the Chief Finance Officer and Management Board, in conjunction with the appropriate Cabinet Member, have considered the options open to the Council. The recommendations made ensure the Council:

- continues to support its capital programme projects by seeing them to completion,
- manages its financial/service risks through the creation of appropriate reserves,
- supports NPH by reinvesting unspent monies.

4. Implications (including financial implications)

4.1 Policy

4.1.1. Actual outturn impacts upon the level of reserves.

4.2 Resources and Risk

4.2.1 This report informs Cabinet of the outturn for the General Fund, Housing Revenue Account, Capital Programme and Northamptonshire Partnership Homes for 2015/16. The impact of individual outturn variances needs to be assessed against current and future years' budgets.

4.3 Legal

4.3.1 There are no specific legal implications arising from this report.

4.4 Equality

4.4.1 There are no specific equality implications arising from this report.

4.5 Consultees (Internal and External)

4.5.1 Chief Executive, Directors, Heads of Service and Budget Managers have been consulted.

4.6 How the Proposals Deliver Priority Outcomes

- 4.6.1 Annual outturn reporting contributes to the priority of delivering value for money to protect local services by sustaining effective and prudent financial management.

4.7 Other Implications

- 4.7.1 The Appendices are set out as follows:

1. General Fund Outturn 2015/16
2. General Fund - Service Budget Outturn Position 2015/16
3. Extract of General Fund Earmarked Reserves Movements 2015/16
4. General Fund Capital Programme 2015/16 - Outturn and Carry Forwards
5. Summary of Housing Revenue Account Outturn Position 2015/16
6. Summary of HRA Earmarked Reserves 2015/16
7. HRA Capital Programme 2015/16 - Outturn and Carry Forwards
8. Northampton Partnership Homes Outturn 2015/16

5. Background Papers

- 5.1 Cabinet Reports – Budget Setting and Budget Monitoring throughout 2015/16

Glenn Hammons
Chief Finance Officer (Section 151 Officer)
0300 330 7000

General Fund Summary Outturn 2015/16

Key

"-" figure denotes a budget under spend or an income budget

"+" figure denotes a budget overspend or an expenditure budget

	Revised Budget	Actual Outturn	(Under) / Over Spend
	£000	£000	£000
Director of Regeneration, Enterprise and Planning	1,006	264	(742)
Housing and Wellbeing	1,137	1,394	257
Borough Secretary	2,442	2,364	(78)
Director of Customers and Communities	11,129	10,736	(393)
Corporate and LGSS	10,520	10,880	360
Service Controllable	26,234	25,638	(596)
Planning Appeals and Delapre Abbey	0	635	635
Capital Expenditure charged to Revenue Account	0	2,172	2,172
Net Support Service Recharges	(2,555)	(2,125)	430
Interest and Financing	3,011	2,389	(622)
Parish Precepts and Grants	1,001	1,001	(0)
Government Funding	(7,087)	(7,087)	0
Council Tax	(13,997)	(13,997)	0
Council Tax Freeze Grant	(152)	0	152
Non Specific Grants (mainly New Homes Bonus)	(3,836)	(4,161)	(325)
Technical Accounting Adjustments	(23,615)	(21,174)	2,442
General Fund (under)/over spend	2,619	4,464	1,845
Net Contribution to/(from) Reserves	(2,619)	(4,464)	(1,845)
General Fund Deficit (Surplus)	0	(0)	(0)
Balance b/fwd		(5,470)	
Balance c/fwd		(5,470)	

**General Fund Revenue Budget
Service Budget Outturn Position 2015/16**

Appendix 2

Key

"(-)" figure denotes a budget under spend or an income budget or improvement in outturn

"*" figure denotes a budget overspend or an expenditure budget or deterioration in outturn

	2015/2016 Revised Budget	Outturn	Outturn Variance	Explanation
	£000	£000	£000	
Asset Management	1,063	1,045	(18)	Overspends due to late implementation of a restructure and interim cover of vacant posts £34k, in addition to £38k on Lift Maintenance due to unplanned works and breakdowns. Overspend on repairs & maintenance and bus shelters £48k This is offset by savings of (£139k) on NNDR Rebates.
Other Buildings & Land	(1,757)	(1,872)	(115)	Overachievement of rental income (£87k) due to new income streams and a property that was previously internally let now external. (£28k)
Division Total - Asset Management	(695)	(828)	(133)	
Director of Regeneration, Enterprise and Planning	221	181	(40)	Recharge to Enterprise Zone
Division Total - Director of Regeneration, Enterprise & Planning	221	181	(40)	
Head of Economic Development and Regeneration	97	71	(26)	Underspend due to employee costs recharged to Enterprise Zone (£40k) partly offset by an overspend due to Interim cover for Head of Service at start of Financial Year £15k
Programmes & Enterprise	355	402	48	Main variations are £54k overspend relating to S106 Expenditure, corresponding income below the line, (£50k) showing as underspend on EZ which relates to recharge from Legal which is shown below the line. £62k overspend on employees due to agency staff employed within Service. (£5k) Prize money for St Giles Street award, not spent in year plus other various smaller savings within service (£13k).
Division Total - Economic Development and Regeneration	452	474	22	
Building Control	(52)	(19)	33	Unachievement of Income
Development Control	131	(273)	(404)	Main overspend relates to, this has been offset by over achievement of Income of (£233k), (£97k) Net Effect of Section 106 expenditure & income which includes a technical Adjustment re Bad Debt Provision of (£59k) and savings on employees within Service of (£84k) relating to vacancies during the year
Head of Planning	110	145	36	
Joint Planning Unit	134	32	(102)	Mainly relates to savings on the Budget for JPU Contribution £40k and share of refund to partners as agreed by West Northants Business Sub-Group on 16th February of £67k
Planning & Regeneration Project Support	48	52	4	
Town Centre Team	0	0	0	
Planning Policy & Heritage	616	458	(158)	Main variations relate to (£95k) employee savings on vacant posts - one post deleted as budget savings for 2016/17, (£30k) Neighbourhood Planning Budget not spent, (£10k) Government Grant Received for Brownfields Site Register, (£12k) re Open Space, Sport & Recreation Study not finalised, (£6k) Local Plan Consultation not started in year
Bus Service Contribution	42	42	0	
Division Total - Head of Planning	1,027	436	(591)	
Directorate Total - Director of Regeneration, Enterprise & Planning	1,006	264	(742)	
Housing Options & Advice	854	957	103	Mainly due to additional agency staff costs covering vacant posts and posts that were being temporarily filled awaiting the implementation of a restructure.
Head of Housing and Wellbeing	101	120	20	
Travellers Sites	33	45	12	
Private Sector Housing	4	197	194	Mainly due to reduced DFG income £93k, £53k reduced HMO Licencing Income and £50k Bad Debt Provision.
Housing Strategy & Wellbeing	145	74	(71)	
Division Total - Housing and Wellbeing	1,137	1,394	257	
Directorate Total - Housing and Wellbeing	1,137	1,394	257	
Communications	228	215	(13)	Small underspend on the staffing budget
Emergency Planning	52	52	(0)	
Performance and change	98	79	(18)	Small underspend on the staffing budget
Division Total - Business Change	378	346	(32)	
Chief Executive	287	298	11	Small variance in the hospitality budget
Civic and Mayoral Expenses	86	100	14	Small variance on members expenses due to a payment being made in this year that related to the previous financial year. There was a corresponding underspend in this area in the previous financial year.
Overview & Scrutiny	43	45	2	
Councillor & Managerial Support	530	490	(40)	Staffing underspend
Electoral Services	452	545	93	Overspend due to increased resources being supplied by the Association of Electoral Administrators £47k; and additional cost of the Duston and Upton Parish elections due in February 2016 £10k.
Land Charges	0	2	2	
Legal	387	373	(14)	Underspend due to greater legal income generated than budgeted (includes LGSS income, RTB and EZ income). Partially offset by employee overspend as restructure savings only effective part-year.
Democratic Services	278	164	(114)	Reduction in staffing costs resulting from restructure.
Division Total - Borough Secretary	2,064	2,018	(46)	
Directorate Total - Borough Secretary	2,442	2,364	(78)	
Director of Customers & Communities	209	220	11	
Division Total - Director of Customers & Communities	209	220	11	

General Fund Revenue Budget
Service Budget Outturn Position 2015/16

Appendix 2

Key

"(-)" figure denotes a budget under spend or an income budget or improvement in outturn

"*" figure denotes a budget overspend or an expenditure budget or deterioration in outturn

	2015/2016 Revised Budget	Outturn	Outturn Variance	Explanation
	£000	£000	£000	
Events	352	440	88	Restructure savings only effective part-year. Additional pressures from events including Firework Display, Christmas market, Christmas entertainment and Delapre Concert.
Museums and Arts	717	722	5	
Town Centre Management	9	36	26	
Car Parking	(890)	(1,276)	(386)	Savings on Utilities & NNDR (£128k), additional income from contract parking (£72k), additional income from daily tickets (£93k), additional income from season tickets (£166k). Reduced rent costs on St Peters Way car park (£80k). Offset by additional costs of £130k for Electrical Works etc. £8k variance on premises insurances.
Bus Station	112	95	(17)	
Call Care	(52)	(83)	(30)	
Head of Customer & Cultural Services	103	171	68	Costs incurred by setting up business rates software, to be funded by savings in this Directorate and will be reimburse by savings in Revenue and Benefits in 2016/17 onwards.
Customer Services	473	486	12	
Print Unit	1	5	4	
Facilities Management	876	735	(141)	(£19k) staff savings due to vacancies, (£81k) Premises Savings due to Utility Savings and increased income from events and weddings and functions, (£50k) additional income mainly due to charges to external bodies for the provision of a postage service.
Markets	(42)	(23)	19	
Division Total - Head of Customer & Cultural Services	1,657	1,308	(350)	
Community Safety (includes CCTV)	292	365	74	£72k unachieved balance of the £240k Community Safety Review saving option.
Leisure Contract	322	320	(2)	
Policy	5	5	(0)	
Community and Other Grants	1,148	1,187	39	Shortfall on the MTP savings option (information and advice) offset by underspend on Councillor Enabling Fund requested to be carried forward.
Community Developments	77	94	17	
Community Centres	97	97	0	
Licensing	(263)	(280)	(18)	
Pest Control	7	2	(4)	
Commercial Services	227	257	30	
Environmental Protection	1,059	989	(70)	
Head of Public Protection	0	(4)	(5)	
Environmental Services Contract	6,705	6,595	(110)	Due to deductions made to the monthly core contract payment off set by £194k pension deficit charges and £80k pension bond payments for 2014-16.
Environmental Services	(414)	(420)	(6)	
Division Total - Head of Communities and Environment	9,263	9,208	(55)	
Directorate Total - Director of Customers & Communities	11,129	10,736	(393)	
Audit	160	150	(10)	
Non Distributed Costs	5,142	5,119	(23)	Underspend on Carbon Tax budget as NBC no longer falls within the scope of the scheme. Budget to be adjusted for 2016/17.
Exchequer Services	0	0	0	
Corporate Finance	188	200	12	
Benefits	(1,543)	(1,211)	332	Contribution to Bad Debt Provision £602k offset by an increase in the levels of income due from recovered overpayments
Revenues	(913)	(966)	(53)	
Division Total - Corporate	3,034	3,292	259	
Local Government Shared Service	7,486	7,587	101	Underachievement of budgeted savings for Revenues and Benefits £231k offset by (£115k) pension auto enrolment not starting in 2015/16.
Division Total - LGSS	7,486	7,587	101	
Total	26,234	25,638	(596)	

Extract of General Fund Earmarked Reserves Movements 2015/16

Earmarked Reserve	Balance at 31 March 2015 £000s	Additions to Reserve 2015/16 £000s	Use Of Reserve 2015/16 £000s	Balance at 31 March 2016 £000s
General Fund				
General Revenue Grants (Ringfenced)	3,105	2	-538	2,569
S106 Contributions	2,479	53	-285	2,247
Total Grants and Contributions	5,583	55	-823	4,815
Borough Secretary Reserves	237	0	-137	100
Customers and Communities Reserves	2,353	-170	-130	2,053
Regeneration, Enterprise and Planning Res.	2,619	-1,075	-287	1,257
Housing Reserves	172	158	0	330
Total Service Related Reserves	5,381	-1,087	-554	3,740
Future Pressures Reserve	2,961	1,785	-23	4,723
Service Improvements & One-off Investment	1,977	1,228	-1,273	1,932
Strategic Investment Reserve	1,362	2,915	-559	3,718
Other Corporate Reserves	1,968	-359	-515	1,094
Total Corporate Reserves	8,268	5,569	-2,370	11,467
Insurance Reserve	1,763	0	-547	1,216
Rates Retention Deficit Funding	4,850	882	-5,214	518
Other Technical Reserves	1,284	-230	-145	909
Total Technical Reserves	7,897	652	-5,906	2,643
Total General Fund	27,129	5,189	-9,653	22,665

GF Capital Programme 2015/16 - Outturn and Carry Forwards

Cost Centre Code	Cost Centre Description	A	B	C=B-A	D	E=C+D	Reason for Variance/Carry Forward
		Final Budget 2015/16 £000	Actual 2015/16 £000	Variance £000	Proposed Carry Forward £000	Variance after Carry Forward £000	
BA225	Car Parks - Pay on Exit	300	295	(5)	0	(5)	Scheme complete.
BA889	Mayorhold Car Park - Drainage Works	77	0	(77)	77	0	Work initially placed on hold pending completion of the pay on exit project. Works will be undertaken in 2016/17.
BA220	St Crispins Community Centre	150	141	(9)	9	0	Ongoing Scheme - Section 106 Funded
BA230	St Crispins Allotments	65	0	(65)	65	0	Ongoing Scheme - Section 106 Funded
BA165	Corporate EDRMS	57	0	(57)	57	0	Project delayed due to issues with corporate data matching. Net underspend to be carried forward
BA659	Call Care	9	0	(9)	9	0	Required for replacement of obsolete equipment. Underspend to be carried forward.
BA893	Microsoft Office 2010 Upgrade	70	62	(8)	8	0	Net underspend to be carried forward for remaining outstanding upgrades.
BA216	Central Museum Development	132	38	(94)	94	0	The programme has slipped due to the appointment of the main contractor taking longer than anticipated. With Wates Construction now engaged, the master programme has been revised to reflect this later start date. The delay in appointment has no impact on the overall programme (subject to the results of structural and other invasive surveys).
BA186	Improvement to Parks Infrastructure	60	63	3	0	3	Complete
BA211	Extension of Duston Cemetery	41	36	(5)	0	(5)	Complete
BA223	Eastfield Park Play Area	47	0	(47)	47	0	Ongoing Scheme - Section 106 Funded
BA673	Parks/Allotments/Cemeteries Enhancements	101	81	(20)	20	0	Block Programme - Net underspend to be carried forward
Total - Customers and Communities		1,109	716	(393)	385	(7)	
BA224	Delapre Abbey Infrastructure	200	28	(172)	172	0	Expenditure has been delayed whilst we work proactively through the conditions placed on the planning permission. The full budget will be required.
BA652	Visitor Signage in Town Centre	74	1	(73)	73	0	Internal sign off has been recently received and an outline project delivery plan will be available during the next week.
BA653	Delapre Abbey Restoration	4,765	2,913	(1,852)	1,852	0	This project is delayed for completion until November 2016, full carry forward will be required.
BA668	Abington Street - Opening Up to Traffic	4	2	(2)	0	(2)	Scheme complete, minor variance
BA669	Town Centre Public Realm (St Giles St)	724	236	(488)	488	0	This project is ongoing and continues through to this financial year.
BA670	Southbridge Waterside	40	0	(40)	40	0	This has now been signed off by legal and is with RNRP for delivery. S106 funded project.
BA687	St Peters Waterside	1,083	63	(1,021)	1,021	0	Carry forward is required to cover site enabling works at the Four Waterside development.
BA698	Delapre Abbey Tea Rooms	74	22	(52)	52	0	Carry forward to be transferred to BA653 as per Cabinet report.
BA221	Vulcan Works	150	360	210	(210)	0	Ongoing Scheme - overspend in 2015/16 to be funded from budget brought forward from 2016/17
BA215	Moulton Athletics Track	1,456	825	(631)	631	0	Project complications including severe weather conditions have required an extension to the project delivery timescale.
"Operational Buildings" Projects							
BA146	Water Hygiene Monitoring Imps	0	0	0	0	0	
BA188	Royal & Derngate Roof	0	8	8	0	8	Final certificate under-accrued
BA197	Delapre Abbey Minor Projects	0	(12)	(12)	0	(12)	Over-accrual from previous years
BA892	Urgent Lift Renewals	0	(3)	(3)	0	(3)	Over-accrual from previous years
BA674	Operational Buildings Enhancements	479	320	(159)	166	7	Block Programme - Net underspend to be carried forward
Sub-Total - Operational Buildings							
BA675	Commercial Landlord Responsibilities	406	114	(292)	292	0	Block Programme - Net underspend to be carried forward
BA214	St Johns MSCP Storage Facility	130	124	(6)	0	(6)	Scheme complete
BA683	St James Mill Way Electricity	463	463	0	0	0	
BA227	Duston Art Project	10	9	(1)	1	0	Ongoing Scheme - Section 106 Funded
BA663	Duston Wetlands	217	16	(201)	201	0	Ongoing - Section 106 Funded
BA883	Planning IT Improvements (HPDG)	50	38	(13)	0	(13)	
BA180	Strategic Property Investment (Albion House)	500	515	15	0	15	Overspend due to stamp duty not included in budget

GF Capital Programme 2015/16 - Outturn and Carry Forwards

Cost Centre Code	Cost Centre Description	A	B	C=B-A	D	E=C+D	Reason for Variance/Carry Forward
		Final Budget 2015/16	Actual 2015/16	Variance	Proposed Carry Forward	Variance after Carry Forward	
		£000	£000	£000	£000	£000	
BA180	Property Purchase from NCC	2,175	2,262	87	0	87	Overspend due to stamp duty not included in budget
BA180	Purchase of BR Sports&Social Club	0	163	163	0	163	Purchase funded from Heritage Gateway budget
BA226	Purchase of National Grid Land	1,500	0	(1,500)	1,500	0	
BA671	Heritage Gateway	280	29	(251)	88	(163)	Used to fund the purchase of Sports & Social Club (see above)
BA672	Capital Imps - Regeneration Areas	270	17	(253)	253	0	Block Programme - Net underspend to be carried forward
BA229	Weston Favell Improvements	40	7	(33)	33	0	
BA656	Victoria St Bus Shelters	0	9	9	0	9	
BA666	Greyfriars Bus Station Demolition	1,449	1,277	(172)	30	(142)	Scheme complete, retention of £30k currently withheld from contractor
BA891	Bus Interchange	22	11	(11)	0	(11)	
BA645	S106 Contributions to Other Local Authorities	362	235	(127)	0	(127)	Underspend due to monies returned from NCC due to Banbury Lane bus link not proceeding.
BA685	Northampton Bike Hire Scheme	55	0	(55)	55	0	Payment made early in 2016/17
BA145	Cliftonville Office Move	0	(60)	(60)	0	(60)	Refund received re Guildhall air ventilation system.
BA213	Equipment - Duston LC	0	(0)	(0)	0	(0)	Scheme complete
BA218	Milverton Crescent Common Pathway	64	61	(3)	0	(3)	Scheme complete - s106 funded
BA219	Standens Barn Community Centre Security Imp	10	10	0	0	0	NA
BA222	Octagon Conference Facilities	70	70	0	0	0	NA
BA368	Upton CP Bridge	0	(3)	(3)	0	(3)	Over accrual - s106 funded
BA649	Skate Park Toilet, Kiosk and Café Extension	103	97	(7)	0	(7)	Scheme complete, self-funded through increased rental
BA681	Site 11 Construction	0	2	2	0	2	Scheme complete
BA695	East Hunsbury & Wootton Green Space	11	11	0	0	0	NA
BA697	Town Centre Free Public Wi-Fi	0	(0)	(0)	0	(0)	NA
BA684	Superfast Broadband	88	88	0	0	0	Payments phased over 4 years
Total - Regeneration Enterprise and Planning		17,325	10,329	(6,996)	6,737	(259)	
BK015	DFG's Owner Occupiers	1,500	1,557	57	(57)	0	£400k carry forward agreed as part of budget setting. Small overspend to be covered by bringing forward budget from 2016/17.
Total - Housing GF		1,500	1,557	57	(57)	0	
BA207	ICT Improvements & Refresh	301	54	(247)	247	0	Project delayed due to investigations in relation to options for hardware. Net underspend to be carried forward.
Total - LGSS Managed Budgets		301	54	(247)	247	0	
BA662	University of Northampton Loan	46,000	46,000	0	0	0	NA
BA217	Northampton Leisure Trust Loan	300	300	0	0	0	NA
Total - Loans to Third Parties		46,300	46,300	0	0	0	
Grand Total - General Fund		66,534	58,955	(7,579)	7,313	(266)	

Summary of Housing Revenue Account Outturn Position 2015/16**Key**

"(" figure denotes a budget underspend or an income budget

"+" figure denotes a budget overspend or an expenditure budget

Summary HRA	2015/16	2015/16	2015/16	(Under) /
	Revised Budget	Projected Outturn as at Period 10	Outturn	Over Spend
	£000	£000	£000	£000
Rents - Dwellings Only	(51,371)	(51,426)	(51,414)	(43)
Rents - Non Dwellings Only	(1,100)	(1,151)	(1,165)	(66)
Service Charges	(2,064)	(2,092)	(2,143)	(79)
Other Income	(85)	(10)	(7)	78
Repairs and Maintenance	15,405	14,421	13,033	(2,372)
General Management	9,744	9,579	9,312	(432)
Special Services	4,331	4,219	3,170	(1,161)
Rents, Rates, Taxes & Other Charges	279	279	259	(20)
Increase in Bad Debt Provision	750	300	201	(549)
Rent Rebate Subsidy Deductions	0	0	0	0
Total within Budget Managers Control	(24,111)	(25,881)	(28,754)	(4,644)
Capital Charges	12,610	12,610	12,794	184
Interest and Financing	6,250	5,931	6,029	(221)
Revenue Contributions to Capital	12,540	12,540	9,424	(3,116)
Net Support Service Recharges	2,811	3,097	2,129	(682)
Technical Accounting Adjustments	34,211	34,178	30,376	(3,835)
HRA Net Expenditure 2015/2016	10,100	8,296	1,622	(8,479)
Net Contribution to/(from) Reserves	(10,100)	(8,296)	(1,622)	8,479
Housing Revenue Account Deficit (Surplus)	0	0	0	0
Working Balance b/fwd	5,000	5,000	5,000	
Working Balance c/fwd	5,000	5,000	5,000	0

Summary of HRA Earmarked Reserves 2015/16

Appendix 6

Summary	Balance B/f 1 Apr 2015 £000	Reallocated £000	Earmarked in Year £000	Applied in Year £000	Unearmarked in Year £000	Balance C/f 31 Mar 2016 £000
HRA Reserves	(17,067)	0	0	1,622	0	(15,446)
HRA Supporting People Reserve	(558)	0	0	0	0	(558)
HRA Reform Reserve	(8)	0	0	0	0	(8)
HRA Leaseholder Reserve	(500)	0	0	0	0	(500)
HRA Service Improvement Reserve	(1,395)	0	0	0	0	(1,395)
HRA Insurance Reserve	(300)	0	0	0	0	(300)
Total HRA Reserves	(19,829)	0	0	1,622	0	(18,207)
Minimum Level of HRA Reserves	(5,000)	0	0	0	0	(5,000)
Total HRA Reserves	(24,829)	0	0	1,622	0	(23,207)

HRA Capital Programme 2015/16 - Outturn and Carry Forwards

Cost Centre	Scheme Title	A	B	C=B-A	D	E=C+D	Reason for Variance/Requested Carry Forward
		Approved Budget £000	Actual £000	Overspend/ (Underspend) £000	Requested Carry Forward £000	(Saving)/ Overspend £000	
BH302	Minor Adaptations for People with Disabilities	0	4	4	0	4	Minor residual spend, offset by other underspends
BH317	Decent Homes	0	(42)	(42)	0	(42)	Minor residual transactions, offsets other overspends
BH370	Repurchase of Former Council Houses	1,144	557	(587)	587	0	Balance to be carried forward to 2016/17.
BH373	Lakeview House	769	778	9	0	9	Project complete. Minor residual spend, offset by other underspends
BH375	Lift Refurbishment St Katherines Court	0	11	11	0	11	Project complete. Minor residual spend, offset by other underspends
BH383	Sotheby Rise and Dallington Haven Car Park Improvements	62	22	(40)	0	(40)	Project complete at a lower cost.
BH384	New Build - Dallington	600	0	(600)	600	0	Balance to be carried forward to 2016/17.
BH801	NPH Capital - Managed Budget Improvement to Homes	29,978	31,567	1,589	0	1,589	NPH managed budget. Offset by underspend on BH802
BH802	NPH Capital - Managed Budget Improvement to Environment	2,549	439	(2,110)	521	(1,589)	NPH Managed budget. Balance to be carried forward to 2016/17.
BH803	NPH Capital - ITC	1,046	357	(689)	689	0	NPH managed budget. System upgrade works ongoing. Balance to be carried forward to 2016/17.
Total HRA		36,148	33,693	(2,455)	2,397	(58)	

NBC / NPH EXTRACT FROM PRE- AUDITED ACCOUNTS**Key**

"()" figure denotes a budget underspend or an income budget

"+" figure denotes a budget overspend or an expenditure budget

	Revised Budget £'000	2015/16 Outturn £'000	(Under) / Over Spend £'000
Management Fee - HRA	14,210	12,055	(2,155)
Management Fee - GF Housing	246	234	(12)
Maintenance - Managed Budget Responsive / Cyclical	14,329	12,478	(1,851)
Capital - Managed Budget Improvement to Homes & Environment	33,573	32,364	(1,209)
Total Management Fee	62,358	57,131	(5,227)
Housing Revenue Account - Management Fee	14,210	11,571	(2,639)
General Fund - Management Fee	246	234	(12)
Housing revenue Account - Repairs & Mtce	14,329	12,478	(1,851)
Housing Revenue Account - Capital	33,573	32,364	(1,209)
Total Expenditure	62,358	56,648	(5,710)
NPH Operating (Loss) / Surplus Before Financing and Tax	0	483	483
Financing Costs	0	489	489
NPH Operating (Loss) / Surplus	0	(7)	7

Note:

Not all capital budgets were transferred over to be managed by NPH : for example Repurchase of Former Council Houses.